

Leveraging Scientific Community Knowledge for

## Air Quality Model Chemistry Parameterizations

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An introduction to atmospheric chemical mechanisms: development, usage, and future needs.

#### Air pollution contributes to adverse health outcomes.

Approximately 121 million people in the United States—one third of the population-live where National Ambient Air Quality Standards (NAAQS) are violated. In most cases, the criteria pollutants exceeding standards are ozone (O<sub>3</sub>) and fine particles (PM<sub>2.5</sub>). In addition, 188 substances known or suspected to cause cancer or other serious health effects are designated as hazardous air pollutants (HAPs).<sup>2</sup> Essentially, all O<sub>3</sub> and significant portions of PM<sub>2.5</sub> and HAPs are produced in the atmosphere through chemical and physical processes. In the case of PM<sub>2.5</sub>, subcomponents formed primarily from precursor gases-sulfate, nitrate, ammonium, and secondary organic aerosol (SOA)-account for 60% of the U.S. county-level annual mean concentration.3 In addition, 47% of the cancer risk and 25% of the noncancer risk from HAPs have been attributed to atmospheric chemistry rather than direct emissions.4 In this article, we introduce the role of chemical mechanisms in air quality models, a new atmospheric science community effort, and needs for further mechanism development.

#### **Chemical Mechanisms**

Chemical mechanisms describe the interactions between emissions of reactive organic carbon (ROC) and nitrogen oxides (NOx) to form O<sub>3</sub> and secondary products. 5 Chemical mechanisms used in air quality models like the Community Multiscale Air Quality (CMAQ; http://www.epa.gov/cmaq) system are simplified representations of atmospheric chemistry with Carbon Bond, SAPRC, and the Regional Atmospheric Chemistry Mechanism (RACM)<sup>8</sup> (see Figure 1) taking different approaches to balance computational cost and chemical detail.9,10 Carbon Bond reduces the number of

species by tracking types of carbon bonds; SAPRC and RACM retain the carbon backbone of emissions and group by similar structure and reactivity. Historically, development of these mechanisms focused on the most volatile subset of ROC emissions responsible for  $O_3$ , which differs from the ROC most important for SOA (see Figure 2). This approach leaves development of SOA algorithms largely independent of chemical mechanisms despite important linkages. Accordingly, models have struggled to consistently simulate O<sub>3</sub>, HAPs, PM<sub>2.5</sub>, SOA, and related quantities. 11-13

#### The Community Regional Atmospheric **Chemistry Multiphase Mechanism** (CRACMM)

CRACMM (https://www.epa.gov/cmaq/cracmm) is a new mechanism that integrates the representation of O<sub>3</sub>, PM<sub>2.5</sub>, and HAPs to best address current and future air quality modeling needs under regimes of lower NOx,14 increased wildfires, 15 increasingly oxygenated ROC, 16,17 lower inorganic PM<sub>2.5</sub> precursors, <sup>18</sup> and higher temperatures. <sup>19</sup> CRACMM will be used and developed by the atmospheric chemistry community to ensure the latest scientific updates are efficiently and accurately incorporated into air quality models. The "community" aspect of CRACMM reflects the importance of incorporating advances from a multi-institution community of researchers now and in the future.

CRACMM version 120,21 was released in CMAQv5.422 in 2022 and included developments from the U.S. Environmental Protection Agency (EPA), the National Oceanic and Atmospheric Administration (NOAA)'s Chemical Sciences

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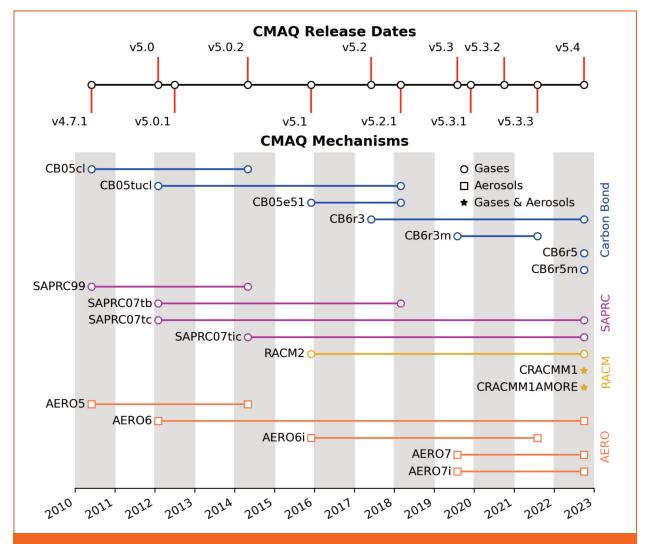


Figure 1. Gas-phase chemical mechanisms and aerosol (AERO) modules in CMAQ since 2010.

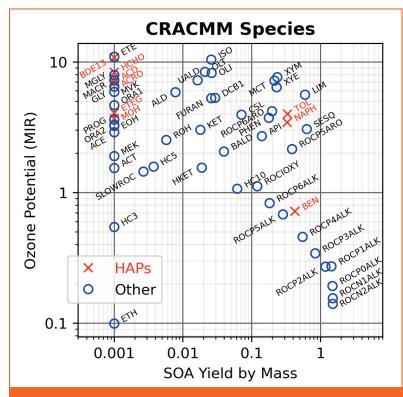
Notes: Carbon Bond version 5 mechanisms<sup>49</sup> include implementations with chlorine chemistry (CB05Cl),<sup>50</sup> chlorine and toluene (CB05tucl),<sup>51</sup> and EPA modifications for the treatment of organic nitrates in CMAQv5.1 (CB05e51),<sup>52</sup> Carbon Bond version 6 mechanisms include revision 3 (CB6r3),<sup>6</sup> revision 3 with marine chemistry (CB6r3m),<sup>53,54</sup> revision 5 (CB6r5),<sup>55</sup> and revision 5 with marine chemistry (CB6r5m). SAPRC implementations include versions initially from 1999 (SAPRC99)<sup>56</sup> and 2007 (SAPRC07)<sup>7</sup> and one with expanded isoprene chemistry (SAPRC07tic),<sup>57</sup> The Regional Atmospheric Chemistry Mechanism (RACM) was implemented as version 2 (RACM2)<sup>8,59</sup> and built upon to develop CRACMM1<sup>21,22</sup> and CRACMM1 with AMORE isoprene (CRACMM1AMORE),<sup>20</sup> Aerosol modules start with version 5 (AERO5) which includes SOA pathways introduced in CMAQv4.7.<sup>59</sup> AERO6 includes updates to heterogeneous oxidation of primary organic aerosol (POA)<sup>60</sup> and the representation of trace metals<sup>61</sup> (CMAQv5.0), as well as the introduction of isoprene epoxydiol,<sup>62</sup> polycyclic aromatic,<sup>63</sup> and alkane<sup>63</sup> SOA (CMAQv5.1). In CMAQv5.2, SOA parameters were updated,<sup>64</sup> and semi-volatile POA and SOA from anthropogenic and biomass burning sources<sup>65</sup> were introduced (AERO6). Revisions to monoterpene<sup>66</sup> SOA and new pathways to glyoxal<sup>66</sup> SOA were introduced in CMAQv5.3 AERO7. Two AERO versions compatible with SAPRC07tic have expanded isoprene SOA species<sup>62</sup> (AERO6i/AERO7i). CRACMM is an integrated representation of gas- and aerosol-phase chemistry, so the chemical mechanism completely specifies the aerosol configuration.

Laboratory, the University of Colorado Boulder, the University of Texas El Paso, and Columbia University. CRACMM continues collaborative efforts between NOAA and EPA on forecasting air quality,<sup>23</sup> as well as NOAA and the National Center for Atmospheric Research (NCAR) on co-development of common modeling infrastructure.<sup>24</sup> NCAR leads development of the Model-Independent Chemistry Module (MICM; https://www2.acom.ucar.edu/modeling/model-independent-chemistry-module-micm) that will enable CRACMM implementation into NOAA's Unified Forecast System with chemistry (UFS-Chem; https://

ufscommunity.org/). In addition, CRACMM is available in the Framework for 0-D Atmospheric Modeling (F0AM; https://github.com/AirChem/F0AM)<sup>11</sup> v4.3, a widely-used research community box model that supports analyses of laboratory and real-world chemical systems and facilitates rapid mechanism development and intercomparison.

#### **Atmospheric Chemistry Community Needs**

Simplified mechanisms like CRACMM rely on detailed chemistry information and measurements to robustly represent the fate of emitted compounds. To support continued



**Figure 2.** Potential ozone formation vs. secondary organic aerosol yield for reactive organic carbon emissions grouped by CRACMM1 species.

Notes: Potential ozone formation indicated by emission-weighted maximum incremental reactivity, MIR, in g/g. Secondary organic aerosol (SOA) yield shown in g/g. CRACMM species composed entirely of HAPs are indicated in red. SOA yields lower than 0.001 are shown at 0.001. See the work of Pye et al.<sup>21</sup> for the simple structure-activity relationships and 2017 U.S. emissions used to calculate these values. Species descriptions are available at https://github.com/USEPA/CMAQ and in the work of Pye et al.<sup>21</sup>

development and application of mechanisms like CRACMM, we recommended the following:

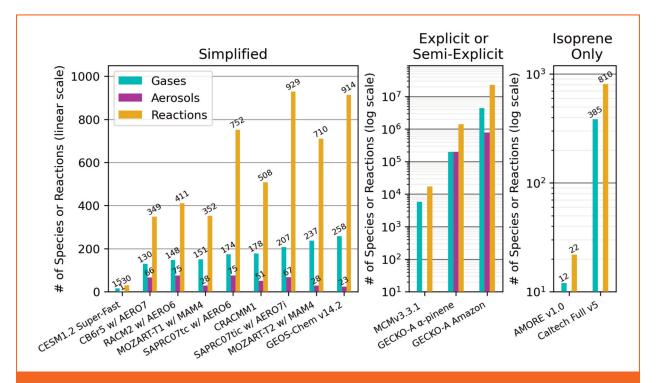
**Explicit mechanisms.** Explicit mechanisms (see Figure 3) are detailed representations of atmospheric chemistry and include information like isomer-specific pathways, which can influence later generation reactions and products.<sup>25</sup> Isoprene is one of the more studied systems, and a recent mechanism synthesized its chemistry with 385 species and 810 reactions.<sup>26</sup> For less-well characterized systems, generators such as the Generator for Explicit Chemistry and Kinetics of Organics in the Atmosphere (GECKO-A)27 and SAPRC Mechanism Generation System (MechGen), 28,29 apply structure-activity relationships to estimate reaction rate coefficients and product yields. The benefit of these approaches is that they extend our current understanding of reaction pathways and yields based on studied compounds to a broader range of atmospherically relevant compounds. However, structure activity relationship predictions can produce errors if the underlying data provide poor constraints. 10 The Master Chemical Mechanism (MCM) is another example of a semi-explicit mechanism.30

Explicit or semi-explicit mechanisms can provide a complete picture of atmospheric chemistry upon which simplified mechanisms can be built. For CRACMM1, MCM provided a foundation for the chemistry of propylene glycol,31 and FOAM box model calculations were used to evaluate CRACMM against MCM.<sup>22</sup> However, no current explicit mechanism is complete. For example, state-of-science experiments for benzene account for 80% of the carbon mass,<sup>32</sup> and autoxidation—a critical process leading to SOA33—is missing from MCM and GECKO-A. MCM also lacks detailed halogen treatment relevant to marine environments. Explicit mechanism generation and verification against laboratory and field observations is a continual need and critical step to inform mechanisms suitable for models like CMAQ.34

**Reduction methods.** Explicit mechanisms are too computationally intensive for routine use in models like CMAQ that require judicious selection of species and reactions. Currently, CRACMM relies on manual curation because fully explicit, coupled representations of O<sub>3</sub> and SOA are not available. In addition, the community lacks broadly applicable automated techniques for simplifying explicit mechanisms. As part of a recent funding opportunity, EPA<sup>35</sup> called for development of algorithms to reduce detailed chemical mechanisms into

simplified mechanisms resulting in more studies on the topic of reduction. Full machine learning replacements of chemical mechanisms within air quality models have not been sufficiently accurate. 36,37 However, using machine learning to identify a subset of reactions most relevant at certain times and locations has successfully reduced the time required to solve chemistry in a global model by 50% with less than 2% error.<sup>38,39</sup> Another avenue to reduce computational load is to simplify explicit mechanisms through the Automated Model Reduction (AMORE) technique. AMORE uses a directedgraph path-based reduction approach and has been used to reduce a fully explicit isoprene chemistry.<sup>20</sup> For SOA, the GENerator of reduced Organic Aerosol mechanism (GENOAv1.0) uses a series of predefined reduction strategies to reduce chemical mechanism size. GENOA has been used to reduce an MCM-based mechanism to 3% of its full size, while reproducing predicted SOA mass concentrations with an average error of < 3%.40

**Parameter measurement and curation.** Chemical mechanisms require rate constants and branching ratios to describe the likelihood of atmospheric reactions and the products they



**Figure 3.** Number of reactions and gas vs. particle species in selected chemical mechanisms used throughout the community to demonstrate breadth of complexity.

Notes: The simplified mechanisms, as well as MCMv3.3.1, represent a full suite of NOx-ROC chemistry. Two versions of explicit

GECKO-A mechanisms—one for only the oxidation of  $\alpha$ -pinene<sup>67</sup> and another for the oxidation of many VOC precursors relevant for the Amazon rainforest<sup>68</sup>—demonstrate how differences in assumptions for precursors and reduction changes the complexity of the chemistry. Two mechanisms illustrate the complexity of known gas-phase isoprene chemistry (Caltech Full mechanism<sup>26</sup>) compared to the representation size needed for an air quality model (AMORE v1.0<sup>20</sup>). Note the GEOS-Chem v14.2 mechanism<sup>69</sup> includes stratospheric chemistry unlike the others which focus on the troposphere. CB6r5 with AERO7, RACM2 with AERO6, SAPRC07tc with AERO6, CRACMM1, and SAPRC07tic with AERO7i values are from CMAQv5.4. MCMv3.3.1 values are from the F0AM<sup>11</sup> implementation. Super-Fast,<sup>70,71</sup> MOZART-T1 with MAM4,<sup>72</sup> and MOZART-T2 with MAM-4<sup>73</sup> are implemented in the Community Earth System Model (CESM). In CESM, MOZART-T1 and MOZART-T2 are coupled with stratospheric chemistry, but the stratospheric chemistry is excluded in these calculations to better compare with CRACMM.

produce. Two community efforts originating in the 1970s have synthesized kinetic and photochemical data: the NASA/JPL Data evaluation panel established by the NASA Upper Atmosphere Research Program Office<sup>41</sup> and the Task Group on Atmospheric Chemical Kinetic Data Evaluation under the International Union of Pure and Applied Chemistry (IUPAC).42 Other work has synthesized information in a searchable database.<sup>43</sup> These efforts rely on experimental data and other sources of information and are regularly used to update models. Rate constants for some fundamental reactions remain significant sources of uncertainty in global models,44 and CRACMM simulations for the Northeast United States identified updates in inorganic chemistry rate constants based on the JPL/IUPAC assessments as one of the top factors influencing O<sub>3</sub> predictions compared to RACM2.22 Continual investment in laboratory kinetic measurements and curation of fundamental rate constants and their dependence on temperature, product distributions, and structure-activity relationships are needed.

Property estimation methods. Chemical mechanisms require additional metadata to connect to endpoints beyond O<sub>3</sub>. For example, deposition competes with chemical loss and influences the ability of emissions to form secondary pollutants. Deposition is also a critical input to sensitive ecosystems. To correctly estimate deposition, as well as SOA, at minimum, properties such as solubility and vapor pressure are needed. For many secondary ROC species, these properties cannot be measured as authentic standards are not available. As a result, computational methods have been developed to predict these properties from structures. Recent work indicates that not only do existing methods of estimating vapor pressure, Henry's Law coefficients, and hydroxyl radical rate constants vary by parametrization, but properties for individual isomers can vary by an order of magnitude. 45 As the community moves toward greater characterization of the full suite of airborne compounds through non-targeted analysis methods,46 improved property estimation methods are needed for new compounds

not previously included in mechanisms. In addition, improved toxicity estimation for individual compounds, <sup>47</sup> particularly secondary species more toxic than their parent chemical, <sup>48</sup> could better capture the full health burden of inhaled pollutants.

#### **A Community Approach**

Strategies to reduce health impacts of air pollution evolve over time as sources of exposure and knowledge of health risks change. Chemical mechanisms serve an important role as public health tools by representing the chemistry of specific sources, pollutants, and regimes within large-scale models. Because pollutants and their state-of-science evolve over time, mechanisms must be continuously updated. Advancing chemical mechanisms benefits from leveraging scientific community knowledge across measurements and models using approaches outlined here. **em** 

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